



## COMPANY DATA

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INCORPORATION STATE: VIRGINIA  
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SWaM Certification #: 839426

## NAICS CODES

- **541611**  
General Consulting Services
- **541618**  
Other Management Consulting Services
- **541990**  
Other Professional, Scientific or Technical
- **611430**  
Professional & Management Development Training

## PSC CODES

- **R406**  
Policy Review/Development
- **R410**  
Program Evaluation/Review/Development
- **R408**  
Program Management Support

## NIGP CODES

- **91875**  
Management Consulting
- **91812**  
Analytical Studies/Surveys - Consulting
- **95816**  
Business Management Services

## CERTIFICATIONS



## CONTACT

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## COMPANY OVERVIEW

**McLean Risk Management Consulting** provides agile, principal-led advisory services to strengthen governance, compliance, and operational controls within highly regulated sectors. Founded by a former Wells Fargo risk leader with 13+ years of experience, the firm specializes in operationalizing complex regulatory mandates into sustainable, audit-ready governance frameworks, bridging the gap between statutory requirements and daily execution, delivering "private-sector precision" to federal and state accountability.

## DIFFERENTIATORS

- Proven success in large-scale regulatory remediation and governance*
- **Consent Order Success:** Reduced procedural volume by **97%** (from 200+ to 6) while ensuring 100% regulatory compliance.
  - **Liaison Excellence:** Subject matter expert for federal examiners (OCC, CFPB, FRB) with a zero-challenge validation record.
  - **Enterprise Best Practices:** Developed governance models and COI registries adopted as "Best Practice" across business lines.
  - **Proven Scalability:** Successfully managed risk-aware change for a workforce of **40,000+** employees.
  - **Principal-Led Delivery:** Direct founder engagement ensures speed, quality and accountability.
  - **Data-Driven Risk Lens:** Six Sigma Green Belt (DMAIC) certified specialist in root-cause analysis and process optimization.

## CORE COMPETENCIES

*Targeted advisory services to operationalize complex regulatory mandates.*

- **Policy & Procedure Governance:** End-to-end lifecycle management, including drafting, cross-functional vetting, and enterprise-wide implementation.
- **Statutory Gap Analysis:** Performance of rigorous assessments against federal and state mandates (e.g., Reg W, Reg O, Volcker Rule) to remediate compliance deficiencies.
- **Control Design & Evaluation:** Engineering robust Risk and Control Self-Assessment (RCSA) frameworks, including risk statement definition and audit-ready evidence curation.
- **Knowledge Management Systems:** Implementation of secure, role-based repositories (OpenText, MediaWiki) featuring full versioning and audit trails for document integrity.

## PAST PERFORMANCES

*Proven results navigating high-stakes regulatory environments and enforcement actions.*

- **Enforcement Action Remediation (Wells Fargo):** Managed a critical workstream responding to a public federal Consent Order. Centralized 200+ conflicting complaint procedures into a unified 6-document model, achieving a **97% documentation reduction** while ensuring 100% compliance.
- **Enterprise Policy Governance (National Branch Network):** Directed policy change and adherence for **40,000+ employees**. Developed a standardized governance playbook, managed Conflict of Interest registry and created a Complaints Management procedure framework adopted as an **Enterprise "Best Practice"**.
- **Statutory Risk Assessment & Validation:** Led annual risk assessments and statutory impact reviews for Volcker Rule and Fair Lending. Secured **100% first-pass validation** from internal/external examiners (OCC, CFPB) with zero challenges.
- **Control Engineering & Gap Remediation:** Facilitated RCSA activities to define inherent and residual risk ratings for major business lines. Remediated **critical control gaps** in business procedures and successfully closed high-profile policy exceptions.