



MCLEAN
RISK MANAGEMENT
CONSULTING



BUSINESS CAPABILITY STATEMENT

COMPANY DATA

EIN: 39-3142180
CAGE CODE: 17J43
DUNS#: 140021173
UEI #: UPDHAMV13M66
INCORPORATION STATE: VIRGINIA
eVA VENDOR ID#: SUP324252
SWaM Certification #: 839426

NAICS CODES

> 541611

General Consulting Services

> 541618

Other Management Consulting Services

> 541990

Other Professional, Scientific or Technical

> 611430

Professional & Management Development
Training

PSC CODES

> R406

Policy Review/Development

> R410

Program Evaluation/Review/Development

> R408

Program Management Support

NIGP CODES

> 91875

Management Consulting

> 91812

Analytical Studies/Surveys - Consulting

> 95816

Business Management Services

CERTIFICATIONS



CONTACT

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> COMPANY OVERVIEW

McLean Risk Management Consulting provides agile, principal-led advisory services to strengthen governance, compliance, and operational controls within highly regulated sectors. Founded by a former Wells Fargo risk leader with 13+ years of experience, the firm specializes in operationalizing complex regulatory mandates into sustainable, audit-ready governance frameworks, bridging the gap between statutory requirements and daily execution, delivering "private-sector precision" to federal and state accountability.

> DIFFERENTIATORS

Proven success in large-scale regulatory remediation and governance

- > **Consent Order Success:** Reduced procedural volume by **97%** (from 200+ to 6) while ensuring 100% regulatory compliance.
- > **Liaison Excellence:** Subject matter expert for federal examiners (OCC, CFPB, FRB) with a zero-challenge validation record.
- > **Enterprise Best Practices:** Developed governance models and COI registries adopted as "Best Practice" across business lines.
- > **Proven Scalability:** Successfully managed risk-aware change for a workforce of **40,000+ employees**.
- > **Principal-Led Delivery:** Direct founder engagement ensures speed, quality and accountability.
- > **Data-Driven Risk Lens:** Six Sigma Green Belt (DMAIC) certified specialist in root-cause analysis and process optimization.

> CORE COMPETENCIES

Targeted advisory services to operationalize complex regulatory mandates.

- > **Policy & Procedure Governance:** End-to-end lifecycle management, including drafting, cross-functional vetting, and enterprise-wide implementation.
- > **Statutory Gap Analysis:** Performance of rigorous assessments against federal and state mandates (e.g., Reg W, Reg O, Volcker Rule) to remediate compliance deficiencies.
- > **Control Design & Evaluation:** Engineering robust Risk and Control Self-Assessment (RCSA) frameworks, including risk statement definition and audit-ready evidence curation.
- > **Knowledge Management Systems:** Implementation of secure, role-based repositories (OpenText, MediaWiki) featuring full versioning and audit trails for document integrity.

> PAST PERFORMANCES

Proven results navigating high-stakes regulatory environments and enforcement actions.

- > **Enforcement Action Remediation (Wells Fargo):** Managed a critical workstream responding to a public federal Consent Order. Centralized 200+ conflicting complaint procedures into a unified 6-document model, achieving a **97% documentation reduction** while ensuring 100% compliance.
- > **Enterprise Policy Governance (National Branch Network):** Directed policy change and adherence for **40,000+ employees**. Developed a standardized governance playbook, managed Conflict of Interest registry and created a Complaints Management procedure framework adopted as an **Enterprise "Best Practice"**.
- > **Statutory Risk Assessment & Validation:** Led annual risk assessments and statutory impact reviews for Volcker Rule and Fair Lending. Secured **100% first-pass validation** from internal/external examiners (OCC, CFPB) with zero challenges.
- > **Control Engineering & Gap Remediation:** Facilitated RCSA activities to define inherent and residual risk ratings for major business lines. Remediated **critical control gaps** in business procedures and successfully closed high-profile policy exceptions.